FOUNDATION ANALYSIS FOR STRUCTURES USED IN RESOURCE MANAGEMENT SYSTEMS

Engineering Field Handbook Amendment IA36 dated October, 1988, and titled "Foundation Analysis for Structures used in Resource Management Systems" presents discussion on the factors involved in determining soil bearing capacity and settlement. It provides simplified methods to estimate these two design considerations.

The information presented in this Amendment has been reviewed and while it is not being updated, it is being re-issued because the discussion and procedures are still valid.

These procedures may be used for low hazard structures. Soil mechanics analysis for moderate or high hazard structures should have a detailed analysis performed by a person with soil mechanics expertise.

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Definitions

Parentheses () will be used to group terms.

A dot "." will be used to indicate multiplication.

B Footing width.

Bearing capacity The maximum footing pressure that can

be permitted on a soil, giving consid-

eration to all pertinent factors.

c Total unit cohesion, psf.

c, bar c Effective unit cohesion, psf

(sometimes written c').

Cc Compression index.

Cr Recompression index.

Consolidation Reduction in the volume of a soil due

to increased loading.

e Void ratio, volume of voids divided by

the volume of solids.

g Footing shape factor for the shallow

bearing capacity equation.

Gs Specific gravity of the soil grains.

Y, gamma Unit weight of soil, pcf.

k Footing shape factor for the shallow

bearing capacity equation.

N Blow count. The number of blows with a

140 lb hammer dropped 30 in required

to drive a standard sampler 1 ft.

Nc, Nq, N\(\gamma\) Bearing capacity factors from figure

4-3S.

po The before construction soil load at

the center of a strata or increment.

Ap The increase in load on a soil at the

center of a strata or increment.

THE REPORT OF

ø, phi Total angle of internal friction of a soil. ø, bar phi Effective angle of internal friction of soil (Sometimes written 0'). The overburden pressure at the base of q a footing. qa Allowable bearing capacity, usually qult/3. qult Ultimate bearing capacity. (Computed bearing capacity with no factor of safety). R/C Reinforced concrete. Downward movement of a structure due to Settlement, S soil consolidation. Settlement, allowable- The maximum settlement or maximum differential settlement that will not cause structure malfunction. Settlement, differential- The difference between the settlement at two points on a structure. Settlement, total- The sum of the immediate and long term settlements at a given point on a structure foundation. Settlement, uniform- The same total settlement at all points on a structure foundation. Shallow Footing- A footing whose depth below the ground surface is less than or equal to its width. W, W' Water table elevation correction factors

(EFM, Amend. IA-36, Oct. 1988)

equation.

for use in the shallow bearing capacity

Soil Bearing Capacity and Settlement

When designing animal waste storage and other conservation structures, a foundation's bearing capacity must be determined. Standard structure drawings will often call for a minimum soil bearing capacity, such as, 2,000 pounds per square foot. However, referring to a soil bearing capacity is a gross oversimplification. Structures must be designed so the loadings will not cause the soil beneath the footing to fail by shear, and settlements will not distress the structure. Foundation design is more often controlled by settlement than by soil shear strength.

Bearing capacity is determined by three soil factors;

Shear strength

Compressibility

Water table elevation

And three structure characteristics;

Foundation size and shape

Foundation depth

The structures' ability to settle without distress

Bearing Capacity Equation

Bearing capacity equations have been developed for both sand and clay soils based on theoretical analyses and model tests. The equation described below applies to shallow footings. Foundations for structures used in resource management systems will usually fit the definition for shallow footings. A shallow footing's depth below the ground surface is equal to or less than the footing's width. Footings that do not meet this criteria because their depth is greater than their width may still be conservatively designed by assuming the footing depth equal to the width.

For Class V or smaller structures the shallow bearing capacity equation is recommended when sizing footings for failure against shear. The equation is given below and in the appendix, Part A. Part A includes a sketch and definitions of the terms.

qult =
$$(g \cdot c \cdot Nc) + (q \cdot Nq) + (k \cdot Y \cdot B \cdot NY)$$

qult = Ultimate Bearing Capacity. (The maximum unit loading a soil can support without failing in shear.)

Nc, Nq, and NY are bearing capacity factors. They are given in Appendix A, Table 4-3S. They depend on the $\overline{0}$ or 0 soil strength parameter.

The first term in the equation is (g \cdot c \cdot Nc). For continuous linear footings, such as for walls, g = 1.0 and for square and round footings, such as for columns, g = 1.3. the c is the cohesion (\overline{c} or c) soils strength parameter. This term accounts for the cohesive strength of the soil.

The second term is (q • Nq). q is the load per unit area (lb per sq. ft) on a horizontal plane beside the footing at the footing bottom elevation. This term accounts for the effect of overburden confining the soil beneath the footing. In some cases this term accounts for a major portion of the computed ultimate bearing capacity. Even small, 1 to 2-foot, backfill depths can be significant with noncohesive soils. In the case of eccentric loadings caused by a backfilled wall with an empty tank or a loaded tank with little backfill, both empty tank and full tank conditions may need to be checked to find the most critical case.

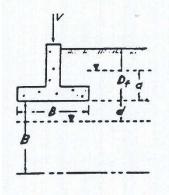
The third term is $(k \cdot \bigvee \cdot B \cdot N \bigvee)$. k is dependent on the footing shape. Y is the unit weight of soil <u>below</u> the footing. Use moist soil weights. B is the footing width. Notice the value of the third term is directly proportional to the footing width. This term represents the frictional shearing strength of the soil beneath the footing.

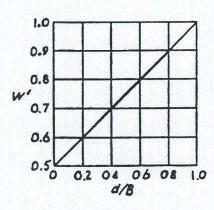
Footing size for the soil bearing equation is measured on each structurally independent unit. The "B" for precast retaining wall units used as structure walls with a poured concrete floor is the B of each unit. Units set in line to form a continuous wall should be treated as a continuous footing rather than separate rectangular footings. However,

when estimating settlement, the total loaded area must be considered because part of the load from one unit is spread to the soil beneath adjacent units and contributes to their settlement.

If the water table is at a distance of more than "B" below the footing bottom, then it does not affect bearing capacity. If the water table is between the bottom and depth B below the footing, the $(k \cdot \bigvee \cdot B \cdot N\bigvee)$ factor must be modified by adding a water table correction factor W'. The third term is then $(W' \cdot k \cdot \bigvee \cdot B \cdot N\bigvee)$. If the water table is above the bottom of the footing, then the term $(q \cdot Nq)$ must also be corrected by adding W. The second term is then $(W \cdot q \cdot Nq)$. W' and W can be read from figure 4-1S.

WATER TABLE CORRECTION FACTORS





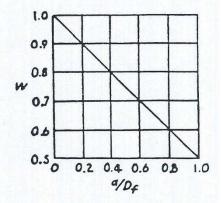


FIGURE 4-1S

Settlement

Two settlement conditions must be considered. They are uniform settlement and differential settlement.

Total settlement is the sum of immediate and long-term settlements at a given point on the structure foundation. If all points in the foundation have the same total settlement, the structure settles uniformly. Since settlement is uniform, uneven stresses are not created in the structure.

Most structures can withstand fairly large uniform settlement, especially if the potential settlement is anticipated and provisions are made for it in design. Uniform settlement can cause problems such as: shearing or malfunction of loading and unloading conduits and fixtures and disruption of surface and subsurface drainage.

Even very small differential settlements can cause structural damage such as cracking of concrete, opening of joints, and bending and dimpling of steel structures. Part B of the Appendix contains a chart of allowable settlements for various structure types.

Any loading of the soil causes settlement due to (a) relocation and consolidation of the soil particles, and (b) movement of water and/or air from the void spaces. Conversely, any excavation or structure removal unloads the underlying soil so that new loads will cause only small

settlements until the reloading exceeds the previous load. Soils that have not been previously loaded greater than at present are called "normally consolidated." Soils previously subjected to greater loadings than present are called "overconsolidated" or "preconsolidated".

Loads on certain compressible soils can cause significant consolidation to a depth where the weight of the imposed load is 10% of the existing overburden pressure. This can be quite deep. Figure 4-2S page 4-46 gives an example of the computation of this depth. Settlements will be large in soft fine grained soils and in low density sands. Rock, dense tills, gravels, and highly overconsolidated fine grained soils will have few or no settlement problems.

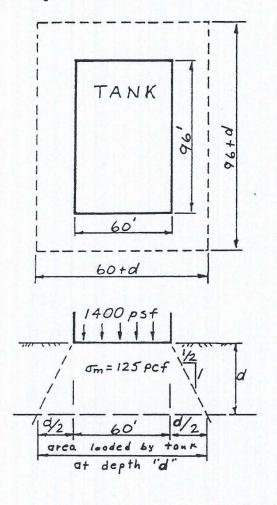
Extensive geologic investigation, sampling, and testing are required to reliably estimate settlement. However, depending on the soil and the characteristics of the structure, settlement may be reasonably estimated using the methods and guidelines described in the Appendix. These methods should not be applied to high hazard structures or where soil characteristics outlined in the Appendix can not be reasonably estimated. Rationale for determining the significance of the settlement and estimated values should be documented in the design file.

Differential settlements occur when foundation soils are not uniform and/or when loadings are nonuniform and under large flexible foundations and floors on compressible foundations. Relatively small differential settlements (1 to 2 inches) will cause distress in many structures

especially concrete structures. When total settlement is large, a high potential for large differential settlement usually exists. It is important to select uniform foundation soil conditions and to keep loading uniform. For example, it would be poor practice to place a structure partially on rock and partially on a compressible soil. Also, a foundation should not be constructed partially on a dense till and partially on a compressible alluvium. Where compressible soils occur in a foundation, an investigation must be adequate to assure the compressible material is of uniform depth. In some situations sampling and testing may be required. Examples of loadings and resultant settlements are given in the Appendix.

Depth of Significant Consolidation

A 60 ft by 96 ft rectangular animal waste storage tank sets on the ground surface. When loaded, it exerts 1400 pounds per square foot (psf) on the foundation soil. The foundation soil weighs 125 pcf. It is deep to the water table. Assume the load spreads at a slope of 1/2:1. At what depth is the load imposed by the loaded tank less than 10% of the existing overburden pressure?



Compute the total Wt. of

the tank and contents.

Wt = Area · Wt/unit area

= (60.96) · 1400 p & f

Wt = 8.064,000 1b

Compare the imposed load

and existing overburden

pressure at depth 'd".

try d = 45ft

Compute imposed load $\Delta \sigma = Wt/area$

Δσ=W+/area Δσ=8064,000/(60+d) • (96+d)

Compute overburden
pressure at "d"

00 = 125 pcf . 45ft

00 = 5625 psf

10 = 545 psf

Compare Do with so

(AO /00) · 100 = (545/5625) · 100

(40/00)-100=9.7%<10%

At 45 ft depth the imposed load becomes less than 10% of the overburden

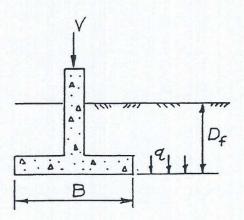
Figure 4-2S

APPENDIX

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В	Maximum Allowable Settlement for Structures Used in Resource Management systems4-50
С	Guide for Estimating Soil Strengths4-51
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PART A

THE SHALLOW BEARING CAPACITY EQUATION



Df<B

 $qult = (g \cdot c \cdot N_c) + (W \cdot q \cdot Nq) + (W' \cdot k \cdot Y \cdot B \cdot N_d)$

gult = Ultimate Soil Bearing Capacity

qa = qult/3 = Allowable Bearing Capacity

c or c = Soil Cohesion Parameter

 $\overline{\phi}$ or ϕ = Soil Friction Parameter

g & k Footing Shape Factors

Continous Footing g = 1.0, k = 0.5

Square Footing g = 1.3, k = 0.4

Round Footing g = 1.3, k = 0.3

Df= Depth of Footing

Y = Soil Unit Weight

q = Df. Y, Effective Vertical Soil Pressure

B = Footing Width

Nc, Nq, Ny = Bearing Capicity Factors

W, W' = Watertable Correction Factors

BEARING CAPACITY FACTORS

		water and the same of the same	
Φ	Nc	Na	Nø
0	5.7	1.0	0.0
5	6.7	1.4	0.2
10	8.0	1.9	0.5
15	9.7	2.7	0.9
20	11.8	3.9	1.7
25	14.8	5.6	3.2
30	22.6	11.1	8.5
35	48.0	32.8	35.2
40	95.7	81.3	100.4

Figure 4-3S

This chart lists local shear factors for $\varphi<28^\circ$, general shear factors for $\varphi>38^\circ$, and interpolates between local and general factors between $\varphi=28^\circ$ & $\varphi=38^\circ$

PART B

MAXIMUM ALLOWABLE SETTLEMENT .

for

STRUCTURES USED IN RESOURCE MANAGEMENT SYSTEMS (Maximum Settlement In Inches) 1/

STRUCTURE		WIDT	H OR DIAM	ETER FEET	
TYPE	20	40	60	80	100
1	0.5	1.0	1.5	2.0	2.5
2	0.7	1.5	2.0	3.0	3.5
3	1.2	2.5	3.5	5.0	6.0
4	2.5	5.0	7.0	10.0	12.0

- 1 (a) Masonry walls.
 - (b) R/C walls, no cracking permitted.
- 2 (a) R/C walls, minor cracking may occur.
 - (b) Precast R/C units that must remain watertight.
 - (c) Steel tanks.
- 3 (a) Simple wood or steel framed structure.
 - (b) Precast R/C units, leakage permitted.
- 4 Impervious earth lined structures.

I/
If the foundation soils are shown to be uniform through the depth of significant settlement the allowable settlements from the chart may be doubled. *

Estimated allowable settlements are taken from observations and studies on buildings and structures. The maximum allowable settlements in the table are based on the assumption differential settlements may equal total settlement and occur at opposite sides of the structure. This assumption may not be conservative in that maximum and minimum settlement may be at intermediate points. If the foundation is uniform then differential settlements will be less than total settlement and the allowable settlement may be increased.

* Appurtenances (pipes, ramps, etc) must be articulated at their contact with the structure to allow settlement without damage.

PART C

ESTIMATED SHEAR STRENGTHS

The following guide may be used to estimate shear strength when test data are not available.

Strength of Sands and Sands with Gravel Based on Estimated Density

c = 0

Soil Density	N Blows/ft	φ Deg
Very Loose	2	27
Loose	7	30
Medium	20	35
Dense	40	37
Very Dense	>50	40

Strength of Silts and Sandy Silts with Little or No Plasticity

Soil Density	c = 0	∳ Deg
Loose		27
Dense		30

Strength of Clays and Silty Clays Based On Consistency phi = 0

Soil consistency	Cohesion psf	Blowcount	
Very soft	*	<2	Thumb will penetrate >1"
Soft	250	2-4	Thumb will penetrate about 1"
Firm	500	4-15	Thumb will penetrate about 1/4 "
Hard	2000	15-30	Readly indented with thumbnail
Very hard	4000	>30	Thumbnail will not indent

* Requires special evaluation of shear strength

PART D

SETTLEMENT ON SAND

Settlement of structures on sand may be estimated from the results of standard penetration tests with the equation

qa= 720 · (N-3) · ((B+1)/2B) · W' · Kd

qa= Net increase in soil pressure in psf producing 1 inch of settlement.

N= Blow count from the standard penetration test.

B= Width of footing.

W'= Water reduction factor as defined in Part A.

Kd= 1+Df/B But no greater than 2.

Df= Footing depth below ground surface.

When B becomes very large then ((B+1)/2B)2 approaches 0.25

When the footing depth (D) is very shallow in relation to the footing width, then Kd approaches 1.

When d/B > 1, W' = 1

Assuming a load on the foundation soil that is wide and at a shallow depth such as a manure tank set at the soil surface and a deep water table we can compute soil loadings that will produce 1 inch of settlement.

Sand Density	N Blows/ft	Load psf
Very Loose	2	1/
Loose	7	700
Medium	20	3000
Dense	40	6000
Very Dense	50	8000

1/ Even very light loads will produce settlements in excess of 1 inch.

Total settlement for any foundation load can be estimated by relating it to the load producing 1 inch of settlement. For example, on a sand of medium density, a 2000 lb load will produce 2/3 the settlement of a 3000 lb load or 2/3 of an inch. If the footing is large and flexible, the loading of the soil under the edge will be about 1/2 the loading under the center and settlements will be about 1/2 as much. On a large structure, a 5 inch thick reinforced concrete floor will act like a flexible floor.

To compute settlement for any load;

SETTLEMENT ON CLAY

The settlement of a structure on a clay soil foundation is estimated using consolidation theory. The void ratio and compression index (Cc) for the soil is needed in order to make the settlement computations.

If the soil has been loaded in the past with a greater load than it now has, it is said to be preconsolidated, overconsolidated or preloaded. Compacted fill has been preloaded by the compaction equipment. In the case of preconsolidated soil the, recompression index (Cr) is used in place of Cc in the computations. Cc and Cr are determined by consolidation testing of undisturbed samples from the foundation. Cc can be estimated from the liquid limit with the formula;

Or from the liquid limit and the void ratio with the formula

Cr is usually 15 to 25% Of Cc.

Void ratio can be computed from the dry density and specific gravity of the soil with the formula;

e= void ratio

Yd= dry density of the soil in pcf

Gs= the specific gravity of the soil grains Usually between 2.65 and 2.75

The formula for settlement is;

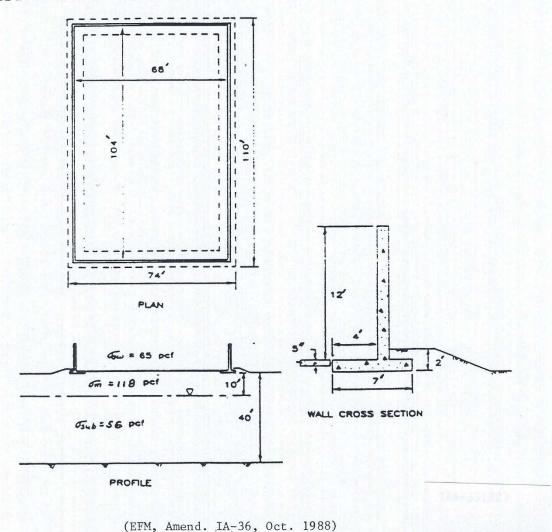
$$S = ((Cc \cdot H)/(1+eo)) \cdot log10 ((po+4p)/po)$$

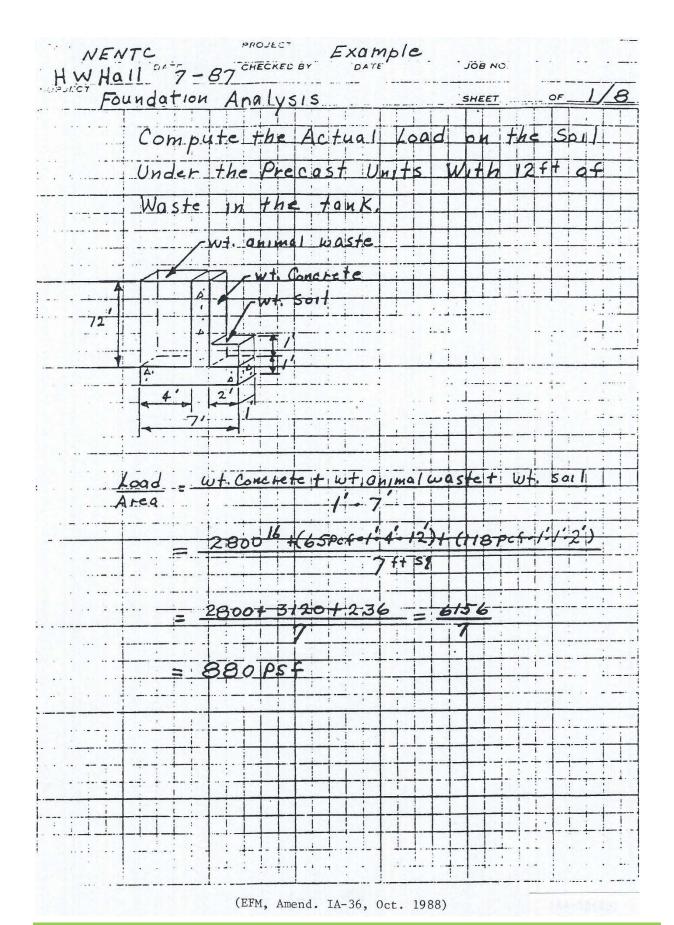
- s= total settlement
- Cc= compression index
- H= depth of compressible strata.
 Thick strata should be
 divided into 4 to 10 foot thick
 increments.
- po= The existing vertical soil pressure
 at the center of the strato or increment.
- eo= The void ratio of the compressable strata before loading.
- △ p= The added load at the center of the strata or increment.
- log10= The logarithm of this number to the base 10.

EXAMPLE

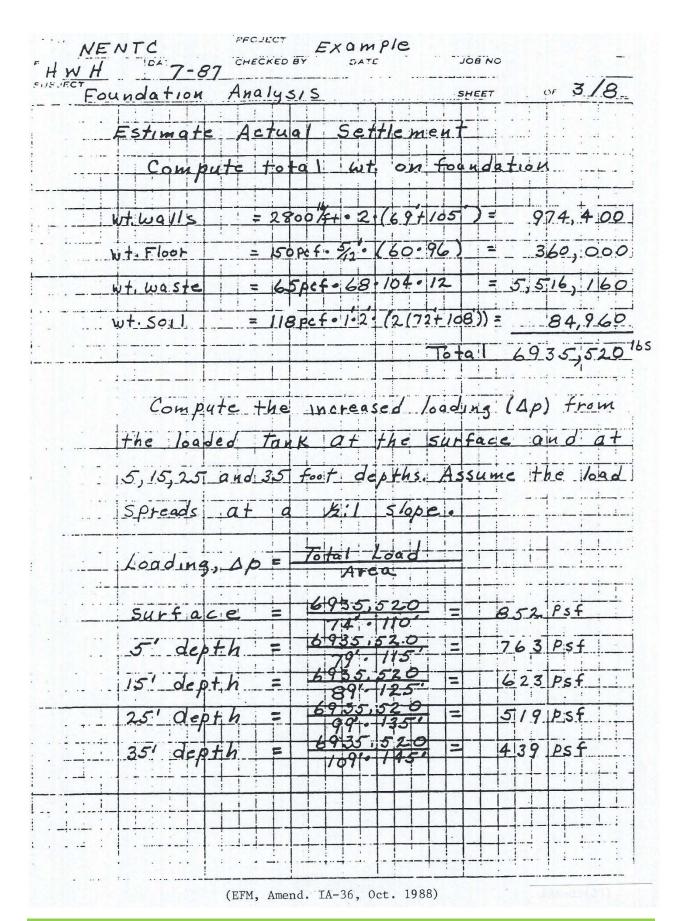
An animal waste storage structure with inside dimensions of 68 ft by 104 ft by 12 ft is to be constructed of precast R/C units. The units are 7 ft wide, 12 ft long and produce a 12 ft high wall. They weigh 2800 lb per ft of length. A 5 in thick R/C floor will be cast and all the joints will be sealed to produce a water tight structure. The units will be placed on a smoothed ground surface and backfilled on the outside to a depth of 2 ft. The foundation consists of 40 ft of firm silty clay with a liquid limit of 40. The dry unit weight is 90 pcf and the wet unit weight is 118 pcf. The unit weight of the animal waste is 65 pcf. The water table is 10 ft below the ground surface.

Assess the adequacy of the foundation soil to support this structure when it is full.



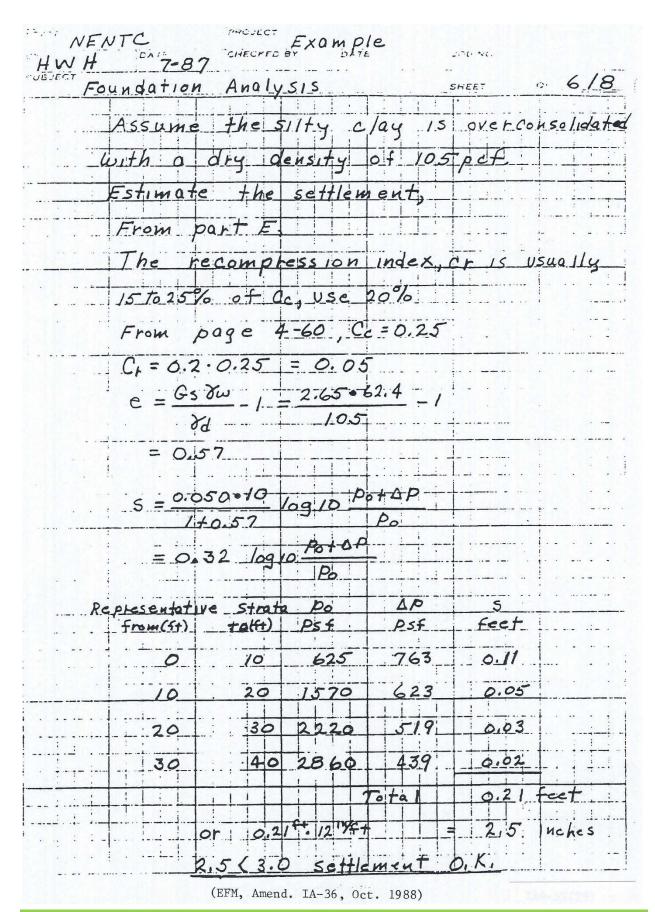


NENTC 7-87 HWH Foundation Analysis Compute Allowable Bearing Capacity From part A guit = (q.c. Nc)+ (w.g. Ng) + (w.K. 8m. B.Nx) From Part C Silty clay-firm gives 0=0 and 0=500 psf From Part A, Table 1, @ \$=0 gives No = 5.7, Ng = 1.0, NY = 0.0 9 = (2ft) (118 Pcf) = 236 psf Effective Vertical Pressure Assume the precast units act like a Continous footing with B=7ft 9=1.0 , K=0.5, Ym=118 pcf From Page 4-42, d=10 which is greater tha B=7 Therefore W=1.0 & W=1.0. Quit = (1.0.500.5.7)+(1.236.1.0)+(1.0.5.118.7.0.0) = 2850+236+0 = 3086 psf 9a = 2ult /3 (allowable bearing copacity) $2a = \frac{3086}{3} = 1028 Psf$ 1028 880 PSF Allowable bearing capacity exceeds actual Therefore Bearing Capacity is O.K. (EFM, Amend. IA-36, Oct. 1988)



NENTC Example	
NENTC Example HWH 7-87 Foundation Analysis SHEET	0 4/8
. Compute the existing Vertical soil	
pressures (po) at 5, 15, 25 and 35 foot	
depth, Po (5ft) = (5') (118 pcf) =	590.psf
po (15ft) = (10') (118 pcf)+(5') (56 pcf) =	1460 psf
Po (25th) = (10') (118 pcf) + (15') (56 pcf) =	2020psf
po (35ft) = (10)(118 pcf) + (25')(56 pcf) =	2580 psf
Estimate the void ratio	
$e = \frac{G_5 \delta \omega}{\delta d} - 1$	
Gs (estimated) = 2.65	
e = 2.65 • 62.4 -1	
e = <u>0.84</u>	
Estimate the compression index	
From part E	
$C_{c} = [0.0035 \cdot L. \cdot (e_{o} - 0.4)]^{2}$	
Cc = [0.0035.40. (0.84-0.4)]"	
$C_c = 0.25$	į
Bouyant weight of soil below the water	toble
(EFM, Amend. IA-36, Oct. 1988)	A-38(20)

NENTC Example
HWH 7-87 Foundation Analysis SHEET OF 5/8
Compute Settlement by 10 foot thick
foundation strata
From port E
S= Cc + log 10 Po+DP
1 ± 50 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
= 0.25.10 Jog 10 POTOP
= 1.36 log 10 Po Po
Representative Strata, Po DP S from (ft) to(ft) PSF PSF FEET
from (ft) to (ft) Psf Psf feet 0 10 590 763 0.49
10 20 1460 623 0.21
20 30 2020 519 0.14
30 40 2580 439 0.09
Total Estimated Actual Settlement 0.93 ft
or 0.93 t 12"/4 = 11:1 Inches
Determine allowable settlement and
compare with estimated settle ment
From part B
Structure type 2, width 74, USE BD
Maximum Allowable Settlement = 3,0 inches
11.1 Inches is much greater than 3.0 inches
Foundation is not adequate
(EFM, Amend. IA-36, Oct. 1988)



HWH 7-87 Foundation Analysis SHEET OF 7/8 Assume the structure is to be placed on a loose sand foundation with 8m=125/4f. Compute allowable bearing capacity
Assume the structure is to be placed on a loose sand foundation with om=125/4f.
From part C
Loose sand, N=7, 0=30, c=0 From part A, table 1
Nc = 22.6, Ng = U.1, Ng = 8.5
quit = (g · c · Nc) + (W · g · Ng) + (W · K · 8 · B · Ns)
944 = (1.0.0.22.6)+(1.250.11.1)+(1.5.125.7.8.5)
= 0+12780+37201
quit = 6500
90 = 20173 = 2170 PS f (allowable bearing).
2170 psf > 880 psf
Bearing Capacity 15 Adequate
Notice that 43% of the Computed
bearing capacity comes from the
second term in the equation and is
dependent on the two feet of
backfill

